

1. Suppose that  $f : M \rightarrow \mathbb{R}$  has a critical point at  $p \in M$ . Given coordinates  $(x_1, \dots, x_n)$  around  $p$ , we consider the Hessian matrix

$$(\partial_{x_i} \partial_{x_j} f)(p) \tag{0.1}$$

Check that the following properties are independent of the choice of coordinates:

- the Hessian is nonsingular
- the Hessian has  $d$  negative eigenvalues (counted with multiplicity).

Check also that you can always choose coordinates so that the eigenvalues are all  $\pm 1$ .

2. Prove that a Morse function on a compact manifold has only finitely many critical points.
3. Suppose that  $(f, g)$  is a Morse–Smale pair and let  $p, q \in \text{crit}(f)$ . If  $\mathcal{M}(p, q) \neq \emptyset$ , then  $|q| < |p|$ .
4. Let  $M, N$  be closed manifolds. Prove that

$$HM_*(M \times N; \mathbb{Z}/2) \simeq HM_*(M; \mathbb{Z}/2) \otimes HM_*(N; \mathbb{Z}/2). \tag{0.2}$$

5. The 2-torus does not admit a Morse function with three or fewer critical points. *Remark: it is natural to wonder what happens if we drop the qualifier “Morse”. In fact, the minimal number of critical points of any smooth function on the 2-torus is 3. This can be proved using Lusternik–Schnirelmann theory.*
6. Let  $f$  be a Morse function and fix a metric  $g$ . If  $p$  is a critical point of index  $k$ , the unstable manifold  $W^u(p)$  is diffeomorphic to  $D^k$  (a  $k$ -dimensional open ball). *Remark: you may use the Morse lemma, which was stated but not proved in class.*
7. Let  $R$  be a commutative ring. Suppose that  $M$  is a closed  $n$ -dimensional manifold endowed with an  $R$ -orientation (i.e. a distinguished isomorphism of  $R$ -modules  $R \simeq H_n(M; R)$ ). Explain how to construct coherent orientations of the compactified moduli spaces  $\overline{\mathcal{M}}(p, q)$ , for all  $p, q \in \text{crit}(f)$  with  $|p| - 2 < |q| < |p|$ . *Hint: choose orientations arbitrarily on all unstable manifolds.*